







Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
1. Proper position on Engine or Car.	171, 173, 174, 175, 176, S-178 and S-179 are	provision of Rules. Placing hands, fingers,	Engine and Train Service employes.
	complied with.	or any parts of body be- tween end doors and lading. Jumping from top of engine or car to another, etc.	games state
2. Walking or running ahead of Engines or Cars.	Observe if Safety Rule 181 is complied with.	Crossing tracks or running ahead of moving equipment.	Engine and Train Service employes. All employes whose duties place them in this area.
3. Coupling or moving cars to or from Industrial, Freight House, Sidings, Yards or Public Delivery Tracks.	W are complied with.	Placing, coupling or moving cars without making proper check as required. Coupling or impact made at high rate of speed.	



Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
	Observe if Rule 907, Form 1118-Rev. is complied with.	Not parting air hoses by hand.	Engine and Train Service men. Car Inspectors.
ed Cars in Trains	regarding these cars in Safety Rule 431 are	Improper position in train. Switching and placement in yards or other locations not as per instructions.	ice employes. Yard supervision.
6. Issuing of Form Notice 5089.	properly handled as re-	Failure to show position of car in train; failure to deliver form or number same consecutively.	ice employes. Yard supervision.
7. Current Time Table.	Observe if Rule A is complied with.	Not having current time-table while on duty.	

Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
8. Standing in front of engine or cars to board same.	Observe if fourth paragraph of Rule M is complied with.	Violation of fourth paragraph of Rule M.	Engine and Train Service men.
9. Sleeping, reading of newspapers, etc.	Observe if Rule P is complied with.	Violation of Rule P.	All employes.
10. Inspection of Passing Trains.	Observe if Rule S is complied with.		Engine and Train Service men, operators, signalmen, yard - masters and M. of W. employes.
11. Protecting switching at Grade Crossings and Grade Crossing Protection.		Failure to provide required protection.	Engine and Train Service men and M. of W. employes.



Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
12. General Orders and General Notices.	Observe if Rule V is complied with.	Failure to read Bulletin Boards before going on duty. Failure of em- ployes to sign for and carry General Orders as required.	ice men. All employes
13. Use of Standard Watch; Time Com- parison.	Observe if Rules 2, 3, 3a and 3b are complied with.	Not having Standard Watch and Current Watch Card, and failure to comply with Rules 3, 3a and 3b.	All employes designated.
14. Night Signals and Fusees.	Observe if Rules 9, 11 and Safety Rule 19 are complied with.	Failure to comply.	Engine and Train Service men, operators, signalmen and crossing watchmen.

Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
15. Hand, Flag and Lamp Signals.	Observe if signals are properly given.	Failure to give proper signal as prescribed by Rule 12.	
	Observe if whistle signals are given properly and bell rung where required.	Failure to comply with Rules 14, 30 and 32.	Enginemen and Firemen.
17. Torpedoes.	Observe if Rules 14g, 15, 99 and Safety Rule 19 are complied with.	Failure to comply.	Engine and Train Service men. Track Car Drivers and M. of W. Employes when required.
18. Electric Headlights.	Observe if Rules 17 and 18 are complied with.	Failure to comply.	Enginemen.
19. Markers.	Observe if Rules 19 and 80 are complied with.	Failure to comply.	Engine and Train Service men. Track Car Drivers.



Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
20. Display of Blue Signals.	Observe if Operating Rule 26 and Safety Rule 26 are complied with.	authorized removal.	Engine and Train Service men. Car Inspectors, Workmen.
*21. Fixed Signals.	Observe compliance with indication according to Rules 27, 221, 281 to 295 inc., 311 and 611.		Engine and Train Service men. All employes required to be governed by signal indications.
22. Observing and Calling Signal Indication.		Failure to comply with Rule 34.	Engine and Train Service men.
23. Track Car Operation.	Observe if Rule 80 is complied with and particularly use of Form D-TC-1.		Signalmen, Operators, Train Dispatchers, Track Car Drivers, M. of W. employes.

^{*}See page 11.

Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
24. Registering and Checking Trains.	S-83a, D-83 and D-83a	Failure to register and check, or to obtain Clearance Form "A", where authorized.	tors, Track Car Driv-
25. Clearing Superior Trains.		Failure to clear according to Rules 86 to S-89 or time-table schedule.	ice men, Track Car
26. Speed Limit.	Observe if Rules 98, 106, 271, 308, 514, 518, 663, Time Table, Train Order and General Order are complied with.	Failure to comply.	Engine and Train Service men.



Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
27. Flagging Equipment and Flagging.	Observe if Rules 7, 35, 99 to 102 incl., 106, D-152, 336, 513, 515, 630, 671, 672, 1401 are complied with.	Lack of prescribed equipment and failure to comply with rules.	Engine and Train Service men and other employes required to use equipment, as well as protect.
28. Engine pushing cars.	Observe if Rule 103 is complied with.	Failure to comply.	Conductor and Train- men.
29. Position of Switches.	Observe if Rules 104 and 512 have been complied with.	Failure to comply.	Engine and Train Service men. Maintenance of Way employes.
30. Approaching Stations with Caution.	Observe if Rule 107 is complied with.	Failure to comply.	Enginemen and Track Car Drivers.
31. Detouring Trains.	Observe if Rule D-160 is complied with.	Non-compliance.	Engine and Train Service men, Yardmaster, Train Dispatcher, Signalman, Operator.

Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
32. Train Orders.	Observe if Rules 201 to 221, inc. are complied with.		Train Dispatchers, Operator, Signalmen, Engine and Train Service men. Track Car Drivers.
33. Train Records and Manual Block Operation.	Observe if Rules 222 and 312 to 344 inc. are complied with.	Failure to comply.	Train Dispatcher, Operator, Signalman, Engine and Train Service men, Track Car Drivers.
movements to and	Observe if Rules 511, 512, 513 and Time Table Instructions are complied with.	Failure to comply.	Engine and Train Service men, Track Car Drivers and M. of W. employes.



Observation	Method of Making	What Constitutes a Failure	Employe's Occupation
Passenger Train	Observe if Rules 1461 to 1476, inc., are complied with.	Non-compliance.	Passenger Conductors and Trainmen.
	Observe if tests are properly made, Rules complied with and re- tainers used as required.	•	Engine and Train Service men, Car Inspector.

Note: The term "Engine and Train Service men" includes Yard Employes on engines, cars or trains, or work incidental to switching or humping cars.

Additional Instructions

Sixty per cent of tests must be night tests. Not more than two tests are to be submitted for any calendar day. If observation indicates failure (F) a letter of explanation must accompany Form 290 A-Rev. when submitted, explaining same in detail, including final disposition of case.

SYSTEM "EFFICIENCY TESTS" AT FIXED SIGNALS

*See Test 21. Page 6.

PROGRAM

Division Superintendents will prepare a program to assure that each engineman is tested at least once each calendar year for:

Proper observance of a "Stop" or a "Stop and Proceed" signal indication.

When practicable tests should also include the following observations:

- 1. Reduction in speed as required in connection with Approach indications. Rule 285
- 2. Stop and Proceed at Restricted Speed. Rule 291.
- 3. Operate through entire block at restricted speed. Rules 290 and 509.

TESTS

Tests must be made by observing obedience

to signal aspects set up by trains in advance, or by establishing the desired signal aspect by control of circuits.

TO OBTAIN SIGNAL ASPECTS

In establishing the desired signal aspect, the following will govern:

- (a) Where tests are to be conducted at:
 - 1. Interlocking Plants.
 - 2. Automatic block signals where the shunting of track will interfere with automatic crossing protection; single track operation of block signals; approach indicators and locking for interlockings, etc.

Signal Supervisor or his representative shall be present and open necessary control circuits.

- (b) Where tests are to be conducted at:
 - 1. Automatic block signals where the shunting of track circuit will not interfere with automatic crossing



protection; single track operation of block signals; approach indicators and locking for interlocking, etc.

These locations to be indicated by Signal Supervisor.

Officer authorized to make test may use approved type of shunt cable, effectively clamped to rails. Such cables must be clamped to rails at least two rail lengths from insulated joint.

- (c) The aspect of signals involved must be observed at time of test for each train.
- (d) At least 4 officers shall be present to complete tests and properly observe conditions and they will be located as follows:

One officer at and to confirm the approach indication.

Two officers to observe compliance with stop indication, one of these officers to be a signal officer.

One officer assigned to the run-off area to observe compliance with Rule 509.

OFFICERS AUTHORIZED TO MAKE TESTS

The following is a list of officers who may be assigned by Superintendent, including himself:

Superintendent, Asst. Superintendent, Division Engineer, Asst. Div. Engineer. Supervisor of Track.
Trainmasters, Asst. Trainmasters.
Road Foremen of Engines.
Asst. Road Foremen of Engines.
Division Operators, Asst. Div. Operators.
Train Rules Examiners.
Supervisor of Signals, Asst. Sup. of Signals.
General Yardmasters.

Chief Train Dispatcher and Signal Supervisor shall be confidentially advised in advance of every test.

Other Staff Officers may be assigned.